

This brochure supplement provides information about Investment Adviser Representative, Rene M. Paez (CRD No. 3275052) that supplements the firm brochure of The Prosperity Consulting Group, LLC d/b/a Prosperity - An EisnerAmper Company (IARD No. 133777) ("Prosperity" or the "Firm"). You should have received a copy of that Brochure. Please contact Donna C. Gestl (contact information below), if you did not receive the Prosperity Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representative, Rene M. Paez, CRD No. 3275052 can be found on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. That website can be searched by using the investment adviser representative's CRD number (shown above).

BROCHURE SUPPLEMENT *for* **Rene M. Paez**

505 South Flagler Drive, Suite 900
West Palm Beach, FL 33401



PROSPERITY

An EisnerAmper Company

Prosperity - An EisnerAmper Company

10065 Red Run Boulevard, Suite 200

Owings Mills, Maryland 21117

Phone: 410-363-7211

Email: rene.paez@prosperityea.com

Website: www.prosperityea.com

Firm Supervisory Contact:

Donna C. Gestl, Chief Compliance Officer

Phone: 410-363-7211

Email: donna.gestl@prosperityea.com

November 26, 2024

BROCHURE SUPPLEMENT for Rene M. Paez, CRD No. 3275052

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)

Prosperity requirements for Investment Adviser Representative Employment

Prosperity requires that employees who provide discretionary security selection on behalf of the firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry and have taken and passed the appropriate state advisory exam. Investment Adviser Representatives must have work experience that demonstrates their aptitude for financial planning and investment management. Investment Adviser Representatives should have further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP®, a CFA®, a ChFC, JD, or CPA. If an Investment Adviser Representative does not meet the above requirements, he or she will be required to work jointly with a senior advisor until such requirements are met.

Investment Adviser Representative's Information
Rene M. Paez
Year of Birth: 1976
Educational Background

Bachelor of Science, International Business, Messiah University, Mechanicsburg, PA, 1999

Master of Business Administration, Northwestern University, Evanston, IL, 2007

Business Experience

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| <i>Wealth Advisor</i> Prosperity – An EisnerAmper Company, West Palm Beach, FL | <i>02/2024 to Present</i> |
| <i>Registered Assistant</i> DAI Securities, LLC, Atlanta, GA | <i>05/2023 to Present</i> |
| <i>Adjunct Professor</i> Palm Beach Atlantic University, West Palm Beach, FL | <i>08/2013 to Present</i> |
| <i>Financial Advisor</i> EisnerAmper Wealth Management Corporate Benefits, LLC, West Palm Beach, FL | <i>03/2023 to 02/2024</i> |
| <i>Financial Advisor</i> Raymond James, West Palm Beach, FL | <i>02/2021 to 02/2023</i> |
| <i>Director of University Partnerships</i> MindEdge Learning, West Palm Beach, FL | <i>08/2019 to 02/2021</i> |
| <i>Business Consultant</i> RMP Global Consulting LLC, West Palm Beach, FL | <i>04/2011 to 07/2019</i> |

DISCIPLINARY INFORMATION (Item 3)

Criminal or Civil Actions
None.

Administrative Actions or Proceedings
None.

Self-Regulatory Organization (SRO) Proceedings
None.

Professional Standards Violations
None.

OTHER BUSINESS ACTIVITIES (Item 4)

Investment Related

Rene M. Paez is a registered representative of DAI Securities, LLC (CRD No. 36673) (“DAIS”), an SEC-registered broker-dealer (member of FINRA and SIPC). Mr. Paez is also a licensed insurance agent who transacts insurance product sales through various insurance vendors. Mr. Paez will earn separate, yet customary compensation for securities and insurance product sales.

In his dual roles as investment advisor representative, securities salesperson and insurance agent (as referenced in Items 5 and 10 of our Brochure), Mr. Paez is faced with conflicts of interest whereby, the receipt of commissions for selling insurance or securities products gives him an incentive to recommend insurance or investment products based on the compensation received, rather than the client’s needs. We address this conflict of interest by, among other things, conducting periodic suitability reviews on our clients’ portfolios and requiring all representatives who are licensed to offer insurance products to our clients to assure that the recommendation to purchase insurance is in the client’s best interest. These products may be available through other channels and as a client you are not obligated to purchase products recommended by our representatives. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

Non-Investment Related
None

ADDITIONAL COMPENSATION (Item 5)

Rene M. Paez earns additional compensation as a result of his dual financial industry activities and affiliations. Mr. Paez also receives additional compensation in the form of production incentives for reaching certain predetermined production thresholds for managed asset revenue and brokerage commission revenue. This compensation structure creates a clear and direct incentive to recommend advisory management accounts and brokerage accounts based on the receipt of these payments. Mr. Paez also has an incentive to maximize his income by increasing revenue in one category or another, depending on the proximity of his revenue level to the next level that triggers a payout. We address these conflicts of interest by disclosing them here and ensuring that any recommendations of advisory management accounts or brokerage accounts are in the client’s best interest. These services are available through other channels, and you are not obligated to utilize the services or purchase products recommended by Mr. Paez. *(Please see Item 10, Financial Industry Affiliations, and Item 14, Client Referrals and Other Compensation, of our Brochure for additional details)*

SUPERVISION (Item 6)

Donna C. Gestl, another investment adviser representative of the Firm, supervises Mr. Paez. The Firm administers supervision through application of its written supervisory policies and procedures. If you have questions regarding the supervisory procedures of the firm, you may contact Donna C. Gestl, Chief Compliance Officer by phone at 410-363-7211 or by email to donna.gestl@prosperityea.com.