

This brochure supplement provides information about Investment Adviser Representative, Michael K. Riley (CRD No. 5730317) that supplements the firm brochure of The Prosperity Consulting Group, LLC d/b/a Prosperity - An EisnerAmper Company (IARD No. 133777) (“Prosperity” or the “Firm”). You should have received a copy of that Brochure. Please contact Donna C. Gestl (contact information below), if you did not receive the Prosperity Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representative, Michael K. Riley, CRD No. 5730317 can be found on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. That website can be searched by using the investment adviser representative’s CRD number (shown above).

BROCHURE SUPPLEMENT
for
Michael K. Riley, QKA®



PROSPERITY

An EisnerAmper Company

Prosperity - An EisnerAmper Company

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Firm Supervisory Contact:

Donna C. Gestl, Chief Compliance Officer

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February 23, 2024

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)

Prosperity requirements for Investment Adviser Representative Employment

Prosperity requires that employees who provide discretionary security selection on behalf of the firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry and have taken and passed the appropriate state advisory exam. Investment Adviser Representatives must have work experience that demonstrates their aptitude for financial planning and investment management. Investment Adviser Representatives should have further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP[®], a CFA[®], a ChFC, JD, or CPA. If an Investment Adviser Representative does not meet the above requirements, he or she will be required to work jointly with a senior advisor until such requirements are met.

Investment Adviser Representative's Information

Michael K. Riley, QKA[®]

Year of Birth: 1975

Educational Background

Bachelor of Science, Towson University, Towson, MD, May 1999

Associate of Arts, Community College of Baltimore County, Essex, MD, May 1997

Professional Designation(s)

Qualified 401(k) Administrator, QKA[®]

The Qualified 401(k) Administrator (QKA[®]) credential is offered by the American Society of Pension Professionals & Actuaries (ASPPA) for retirement plan professionals who work primarily with 401(k) plans. Applicants for the QKA credentials are from various professional disciplines and they typically assist employers and consultants with the recordkeeping, non-discrimination testing and the administrative aspects of 401(k) and related defined contribution plans. A minimum of three years' experience in retirement plan administration or completion of a Retirement Plan Fundamentals (RPF) certificate course is required to be a candidate for this credential, along with successful completion of ASPPA's QKA credential examination series. All credentialed members must acquire 40 hours of CE credits in a two-year-cycle as well as renew ASPPA Membership annually to retain credentials. All members are required to adhere to the American Retirement Association (ARA) Code of Professional Conduct.

Business Experience

Director of Retirement Plan Service & Investment Adviser Representative 03/2022 to Present
Prosperity - An EisnerAmper Company, Owings Mills, MD

Director of Retirement Plan Services 01/2023 to 02/2024
EisnerAmper Wealth Management Corporate Benefits, LLC, Iselin, NJ

Regional Relationship Manager 01/2015 to 02/2022
Future Plan by Ascensus, Timonium, MD

Senior Plan Consultant 10/2014 to 01/2015

Northwest Plan Services, Inc., Towson, MD

Senior Pension Administrator

01/2012 to 10/2014

United Retirement Plan Consultants, Timonium, MD

Financial Services Coordinator

10/2009 to 11/2011

The Prosperity Consulting Group, LLC, Owings Mills, MD

Plan Consultant

04/2003 to 10/2009

CDM Retirement Consultants, Inc., Towson, MD

DISCIPLINARY INFORMATION (Item 3)

Criminal or Civil Actions

None.

Administrative Actions or Proceedings

None.

Self-Regulatory Organization (SRO) Proceedings

None.

Professional Standards Violations

None.

OTHER BUSINESS ACTIVITIES (Item 4)

Investment Related

None.

Non-Investment Related

None

ADDITIONAL COMPENSATION (Item 5)

Michael K. Riley receives a percentage of the revenue generated by the clients he introduces to the Firm. This presents a conflict of interest in that Mr. Riley has an incentive to maximize the number of clients that he refers to Prosperity. We manage this conflict of interest by reviewing the recommendation to ensure it is in the best interest of the client.

SUPERVISION (Item 6)

Donna C. Gestl, another investment adviser representative of the Firm, supervises Mr. Riley. The Firm administers supervision through application of its written supervisory policies and procedures. If you have questions regarding the supervisory procedures of the firm, you may contact Donna C. Gestl, Chief Compliance Officer by phone at 410-363-7211 or by email to donna.gestl@prosperityea.com.