

This brochure supplement provides information about Investment Adviser Representative, Joseph F. Valenza CRD No. 6106136 that supplements the firm brochure of The Prosperity Consulting Group, LLC d/b/a Prosperity - An EisnerAmper Company (IARD No. 133777) ("Prosperity" or the "Firm"). You should have received a copy of that Brochure. Please contact Donna C. Gestl (contact information below), if you did not receive the Prosperity Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Adviser Representative, Joseph F. Valenza CRD No. 6106136 can be found on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). That website can be searched by using the investment adviser representative's CRD number (shown above).

**BROCHURE SUPPLEMENT**  
*for*  
**Joseph F. Valenza**  
130 N. 18<sup>th</sup> Street, Suite 3000  
Philadelphia, PA 19103



**PROSPERITY**  
An EisnerAmper Company

**Prosperity - An EisnerAmper Company**

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**Firm Supervisory Contact:**

Donna C. Gestl, Chief Compliance Officer

Phone: 410-363-7211

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**November 26, 2024**

## **BROCHURE SUPPLEMENT for Joseph F. Valenza, CRD No. 6106136**

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### **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)**

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#### Prosperity requirements for Investment Adviser Representative Employment

Prosperity requires that employees who provide discretionary security selection on behalf of the firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry and have taken and passed the appropriate state advisory exam. Investment Adviser Representatives must have work experience that demonstrates their aptitude for financial planning and investment management. Investment Adviser Representatives should have further coursework demonstrating knowledge of financial planning and tax planning. Examples of acceptable coursework include: an MBA, a CFP®, a CFA®, a ChFC, JD, or CPA. If an Investment Adviser Representative does not meet the above requirements, he or she will be required to work jointly with a senior advisor until such requirements are met.

#### Investment Adviser Representative's Information

**Joseph F. Valenza**

**Year of Birth: 1988**

#### **Educational Background**

Bachelor of Science in Finance, St. Joseph's University  
Philadelphia, PA

#### **Business Experience**

<i>Investment Adviser Representative</i> Prosperity - An EisnerAmper Company, Philadelphia, PA	<i>11/2023 to Present</i>
<i>Registered Representative</i> DAI Securities, LLC, Philadelphia, PA	<i>05/2023 to Present</i>
<i>Advisor</i> CPA Financial Group, LLC, Philadelphia, PA	<i>11/2015 to 08/2022</i>
<i>Internal Wealth Advisor</i> EisnerAmper Wealth Management and Corporate Benefits, LLC, Philadelphia, PA	<i>09/2022 to 11/2023</i>
<i>Registered Representative</i> APW Capital, Inc., Philadelphia, PA	<i>09/2022 to 01/2023</i>
<i>Registered Representative &amp; Investment Adviser Representative</i> Commonwealth Financial Network, Conshohocken, PA	<i>12/2015 to 08/2022</i>

### **DISCIPLINARY INFORMATION (Item 3)**

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Criminal or Civil Actions  
None.

Administrative Actions or Proceedings  
None.

Self-Regulatory Organization (SRO) Proceedings  
None.

Professional Standards Violations  
None.

## **OTHER BUSINESS ACTIVITIES (Item 4)**

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### **Investment Related**

Joseph F. Valenza is a registered representative of DAI Securities, LLC (CRD No. 36673) ("DAIS"), an SEC-registered broker-dealer (member of FINRA and SIPC). Mr. Valenza will earn separate, yet customary compensation for securities product sales.

In his dual roles as investment advisor representative and securities salesperson (as referenced in Items 5 and 10 of our Brochure), Mr. Valenza is faced with conflicts of interest whereby, the receipt of commissions for selling securities products gives him an incentive to recommend investment products based on the compensation received, rather than the client's needs. We address this conflict of interest by, among other things, conducting periodic suitability reviews on our clients' portfolios. These products may be available through other channels and as a client you are not obligated to purchase products recommended by our representatives. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

Mr. Valenza is also the owner of two rental apartment units located in Abington, PA. This activity does not have any business relationship with Prosperity.

### **Non-Investment Related**

None

## **ADDITIONAL COMPENSATION (Item 5)**

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Joseph F. Valenza receives a percentage of the revenue generated by the clients he introduces to the Firm. This presents a conflict of interest in that Mr. Valenza has an incentive to maximize the number of clients that he refers to Prosperity. We manage this conflict of interest by reviewing the recommendation to ensure it is in the best interest of the client. Mr. Valenza also earns additional compensation as a result of his dual financial industry activities and affiliations. *(Please see Item 10, Financial Industry Affiliations of our Brochure for additional details)*

## **SUPERVISION (Item 6)**

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Donna C. Gestl, another investment adviser representative of the Firm, supervises Mr. Valenza. The Firm administers supervision through application of its written supervisory policies and procedures. If you have questions regarding the supervisory procedures of the firm, you may contact Donna C. Gestl, Chief Compliance Officer by phone at 410-363-7211 or by email to [donna.gestl@prosperityea.com](mailto:donna.gestl@prosperityea.com).